FORM 4

# **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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OMB APPROVAL								
OMB Number:	3235-0287							
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### Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940 5. Relationship of Reporting Person(s) to Issuer 2. Issuer Name and Ticker or Trading Symbol 1. Name and Address of Reporting Person (Check all applicable) CALAVO GROWERS INC [ CVGW ] LEAVENS J LINK X Director 10% Owner Officer (give title Other (specify 3. Date of Earliest Transaction (Month/Day/Year) (Middle) below) below) (Last) (First) 11/01/2020 1141-A CUMMINGS ROAD 4. If Amendment, Date of Original Filed (Month/Day/Year) 6. Individual or Joint/Group Filing (Check Applicable (Street) Line) **SANTA** Form filed by One Reporting Person CA 93060 **PAULA** Form filed by More than One Reporting Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

### 2A. Deemed Execution Date 4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 1. Title of Security (Instr. 3) 2. Transaction 5. Amount of 6. Ownership 7. Nature Transaction Code (Instr. Form: Direct of Indirect (Month/Day/Year) Beneficially Beneficial if any 5) (D) or Indirect (Month/Day/Year) 8) Owned Following (I) (Instr. 4) Ownership (Instr. 4) Reported (A) or (D) Transaction(s) Code Amount Price (Instr. 3 and 4) Common Stock 11/01/2020 Α 1,500 A **\$0** 92,678 D See Common Stock 282,572(1) footnote

### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 7. Title and 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and 8. Price of 9. Number of 10. 11. Nature Conversion Execution Date, Expiration Date Amount of Derivative Ownership (Month/Day/Year) Derivative (Month/Day/Year) Security or Exercise if any Code (Instr. Securities Security Securities Form: Beneficial Direct (D) or Indirect (I) (Instr. 4) Price of Derivative (Month/Day/Year) 8) Securities Acquired Underlying Derivative (Instr. 5) Beneficially Owned Ownership (Instr. 4) (A) or Disposed Security (Instr. 3 and 4) Security Following Reported of (D) Transaction(s) (Instr. 3, 4 and 5) (Instr. 4) Amount Number Expiration (D) Title Shares (A) Code Exercisable Date

## **Explanation of Responses:**

1. Shares indirectly owned by Reporting Person in the name of various partnerships of which Reporting Person shares voting and investment power with respect to these shares of Issuer's common stock held by the partnerships.

/s/ J. Link Leavens

11/02/2020

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.